SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden

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Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

		ı*	2. Issuer Name and Ticker or Trading Symbol Beam Therapeutics Inc. [BEAM]		tionship of Reporting Per- all applicable) Director Officer (give title	10% Owner Other (specify
	(First) (Middle) EAM THERAPEUTICS INC. NDSDOWNE STREET		3. Date of Earliest Transaction (Month/Day/Year) 12/30/2020		below) President &	below) CSO
(Street) CAMBRIDGE (City)	MA (State)	02139 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Rep Form filed by More that Person	orting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code V		Amount	(A) or (D) Price		Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/30/2020		M ⁽¹⁾		43,842	A	\$1.03	47,573	D	
Common Stock	12/30/2020		S ⁽¹⁾		2,299	D	\$79.03 ⁽³⁾	45,274	D	
Common Stock	12/30/2020		S ⁽¹⁾		3,510	D	\$ 80.12 ⁽⁴⁾	41,764	D	
Common Stock	12/30/2020		S ⁽¹⁾		8,809	D	\$80.97 ⁽⁵⁾	32,955	D	
Common Stock	12/30/2020		S ⁽¹⁾		5,297	D	\$82 ⁽⁶⁾	27,658	D	
Common Stock	12/30/2020		S ⁽¹⁾		2,805	D	\$83.12(7)	24,853	D	
Common Stock	12/30/2020		S ⁽¹⁾		8,248	D	\$ 84.06 ⁽⁸⁾	16,605	D	
Common Stock	12/30/2020		S ⁽¹⁾		3,049	D	\$85.16 ⁽⁹⁾	13,556	D	
Common Stock	12/30/2020		S ⁽¹⁾		6,756	D	\$86.27(10)	6,800	D	
Common Stock	12/30/2020		S ⁽¹⁾		769	D	\$86.96(11)	6,031	D	
Common Stock	12/30/2020		S ⁽¹⁾		1,700	D	\$88.22 ⁽¹²⁾	4,331	D	
Common Stock	12/30/2020		S ⁽¹⁾		600	D	\$89.66 ⁽¹³⁾	3,731	D	
Common Stock	12/30/2020		М		12,000	A	\$0.67	15,731	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

	(e.g.,	puts, calls	s, warrants	s, options	, convertil	ble securities)	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$1.03	12/30/2020		M ⁽¹⁾			43,842	(2)	07/13/2028	Common Stock	43,842	\$0.00	85,946	D	
Stock Option (Right to Buy)	\$0.67	12/30/2020		М			12,000	(2)	05/08/2028	Common Stock	12,000	\$0.00	257,444	D	

Explanation of Responses:

1. The reported transactions were effected pursuant to a Rule 10b5-1 trading plan. Each price reported for transactions affected pursuant to the Rule 10b5-1 trading plan is a weighted average price. The Reporting Person undertakes to provide to BEAM, any security holder of BEAM or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at

each separate price within the range.

2. 25% of the shares subject to the stock option vested on the first anniversary of the of the vesting commencement date, February 26, 2018, and the remaining shares shall vest in 36 substantially equal monthly installments thereafter, subject to continued service with BEAM through such dates

3. These shares were sold in multiple transactions ranging from \$78.49 to \$79.48 inclusive.

4. These shares were sold in multiple transactions ranging from \$79.52 to \$80.51 inclusive.

5. These shares were sold in multiple transactions ranging from \$80.52 to \$81.51 inclusive.

6. These shares were sold in multiple transactions ranging from \$81.52 to \$82.51 inclusive.

7. These shares were sold in multiple transactions ranging from \$82.52 to \$83.51 inclusive.

8. These shares were sold in multiple transactions ranging from \$83.60 to \$84.59 inclusive.

9. These shares were sold in multiple transactions ranging from \$84.69 to \$85.68 inclusive.

10. These shares were sold in multiple transactions ranging from \$85.71 to \$86.69 inclusive.

- 11. These shares were sold in multiple transactions ranging from 86.72 to 87.51 inclusive.
- 12. These shares were sold in multiple transactions ranging from \$87.80 to \$88.67 inclusive.
- 13. These shares were sold in multiple transactions ranging from \$89.66 to \$89.67 inclusive.

Remarks:

By: /s/ Christine Bellon, Attorney-in-fact

01/04/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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